

# Particle Filter for Handoff Prediction in SDN-based IoT Networks

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## Abstract

Standard implementation of RPL protocol has struggled to limit the impact of mobility on the throughput of the IoT network. Handoff process is of great importance to optimize the trade-off between the control overhead (for maintaining the network topology), and the delay, caused by nodes mobility. In this work, We have proposed a method for predicting future handoffs through fusion of RSSI value and Inertial Measurement Unit (IMU) information using particle filter, which is known for accuracy albeit it needs higher computation capacity. The provided analytical model indicates lower network interruption with the proposed method.

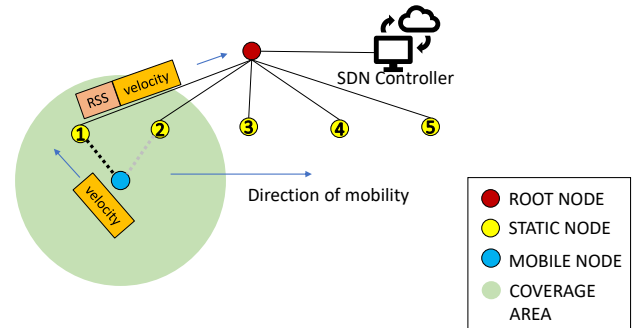
## Keywords

Particle Filter, SDN, Internet of Things, Handoff Process.

## 1 Introduction

Mobility is one of the major elements in future Internet of Things (IoT) applications, which requires proper design of mobility solutions for IoT networks and protocols. Most of the IoT standards such as Routing Protocol for Low-Power and Lossy Networks (RPL) and 6LoWPAN assume that topological changes are negligible. Experiments have revealed that RPL experiences a degraded throughput in a mobile environment [8, 5, 6].

Smart-hop [8], mRPL [5] and mRPL+ [6] proposed handoff processes with low communication overhead and low handoff delay for IoT networks. These handoff processes were designed in such a way to be independent of the mobile node movement information. This issue may cause handoff performance degradation in networks, where the mobile node changes its mobility pattern. In some cases, it may also reduce network responsiveness to dynamic changes. Barcleo et. al. [1] have proposed Kalman Position-RPL (KP-RPL) in which, each mobile node is equipped with IMU sensors for sending positioning beacons regardless to velocity with a constant interval, and then they predict the future position based on Kalman filter. Similarly, EKF-MRPL [3] uses extended Kalman filter to predict non-linear trajectory paths. In [9] authors utilize Doppler effect to estimate the velocity of the mobile nodes. This approach may fail to detect mobility in case there is no radio activity.



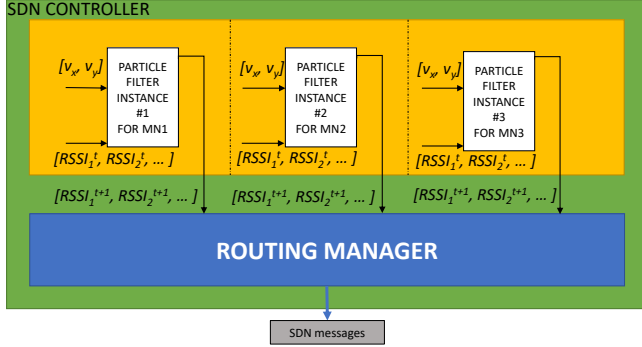
**Figure 1.** As the mobile node moves the SDN controller updates the routes in the static and mobile node.

Particle filter, also known as sequential Monte Carlo [4], is a method used for non-linear filtering problems. Kalman filter is unable to provide good performance in scenarios with non-linear relation and non-Gaussian noise, and its variations can handle such scenarios with low accuracy. In this work, we have designed a particle filter with higher accuracy due to the number of samples, that predicts the future Received Signal Strength Indicator (RSSI). Regarding the hardware constraints in IoT devices, most standards have been designed to avoid computation-heavy tasks in distributed nodes. With the rise of Fog computing, it is possible to off-load the computation, as in MobiFog [7], which is a novel Fog-based approach designed for RPL routing. In this approach, a centralised SDN controller manages the parent list of all nodes in the network, and provides a seamless handoff process with zero delay.

## 2 Prediction Framework

In many deployments, IMU sensors are used in application layer, and it is possible to make use of them in routing layer, or provide a cross layer approach. In our proposed method, when mobile nodes start moving, they broadcast their velocity to all static nodes in their vicinity in a specific interval. Mobile node stops sending broadcasts as soon as it stops moving. However, in standard RPL, a mechanism called Trickle is used to determine the interval between the control messages to capture any change in the topology. Trickle algorithm gradually restores its interval after each change in the topology, but in our approach the interval restoration can be done instantaneously.

As shown in Figure 1, the intermediary nodes that can receive the broadcast packet including the velocity information, append the RSSI to the packet and forward it to the controller. The controller predicts the RSSI values in the next



**Figure 2.** The particle filter can have the current RSSI values and velocity of the mobile node as input and predicts the future RSSI values at different static nodes. For each mobile node there can be one specific instance of filter.

time slot and based on that, the routing manager decides to update the parent list using a SDN control packet.

As shown in Figure 2, we maintain the state information including both position and RSSI values from all static nodes that have received the broadcast message. The prediction step in the filter can use equation 1 to estimate future RSSI.

$$RSSI_D - RSSI_{D0} = -10\alpha \cdot \log\left(\frac{D0}{D}\right) \quad (1)$$

### 3 Analytical Model

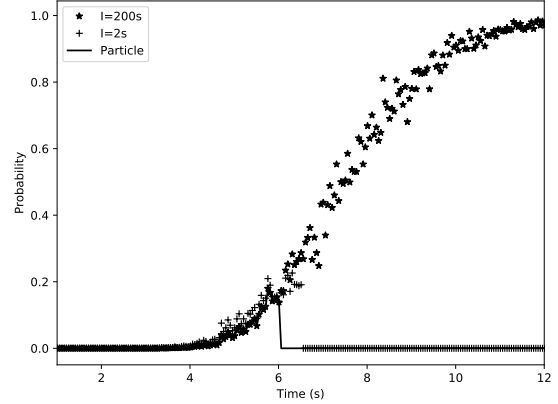
We devised an analytical model to compare performance of different behaviors of the system. There are also some research works that model the consistency of the standards [2]. However our model aims at comparing packet loss in a mobile scenario between standard RPL and the proposed method. The scenario consists of one mobile node that moves from vicinity of static node A and decreases the distance to another static node B. We assume that the mobile node is moving with a constant speed of 1 m/s horizontally and travels for 12 seconds and  $T_\ell = -90$  dBm. Thus the probability of the RSSI to be below the threshold for starting a handoff process is defined as:

$$P(R_a(i) < T_\ell) \stackrel{\text{def}}{=} Q\left(\frac{-T_\ell + R_a(i)}{\sigma}\right)$$

Q function is the complementary distribution function of the standard Gaussian. The probability of packet loss at time  $t$  is proportional to the probability of the RSSI being below  $T_\ell$ , while knowing that handoff is not initiated yet.

$$P_{Loss}(t) \propto P(R_a(i) < T_\ell) \times \left(1 - \int_0^t P_{handoff}(\theta) d\theta\right)$$

For the probability of starting handoff in standard RPL, if the mobile node starts moving when the network has been static for a while, then the Trickle interval would have a higher value, e.g. 200 sec. In this case, Trickle algorithm decides a value between 100 and 200 with a uniform distribution. So it is unlikely that any handoff occurs, and hence there is high probability of packet loss. But if the timer was set to a lower value, e.g. 2 sec, then the probability of handoff would be a uniform distribution between 1 to 2 sec after detecting the link breakdown. In our proposed method, the handoff predicts the link quality in a proactive manner, so it will start the process without any delay and experiences a lower packet loss as shown in Figure 3.



**Figure 3.** Probability of packet loss when mobile node starts moving, with trickle interval at 200 sec (so long that prohibits fast detection of topology changes). With a 2 sec Trickle interval, the topology change is detected but almost 1 sec after the particle filter prediction.

### 4 Conclusions

We have proposed a handoff prediction mechanism using particle filter to facilitate seamless handoff. The computation is offloaded to a centralised Fog node, which has a global knowledge of the networks. Using IMU sensors, we can also optimize the Trickle interval, which has a long lasting effect on the power consumption in the network. As indicated by the analytical model, the proposed mechanism leads to shorter network interruption during the handoff.

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